



## Phase dynamics in reduced kinetic models

10<sup>th</sup> Festival de Théorie

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July 11, 2019



# Plan

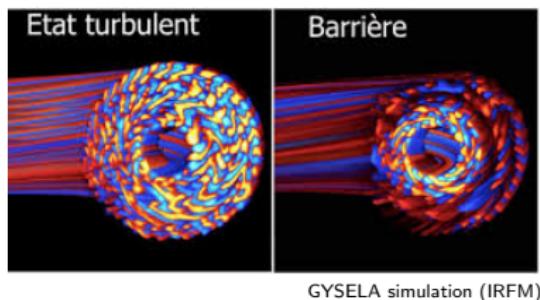
- 1 Framework
- 2 Kinetic shell models
- 3 kinetic LDM model
- 4 Differential approximation
- 5 Reduced model for LH transition
- 6 Discussion
- 7 Bonus

## Framework

### Synergy between reduced approaches and first principle physics

gyrokinetics (5D)

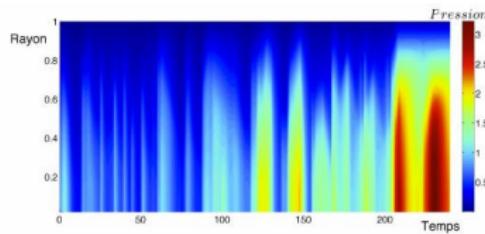
$$\partial_t \mathcal{F} + \{\mathcal{H}, \mathcal{F}\} = \mathcal{S} - \mathcal{C}$$



- \* rigorous description
- \* numerical cost :
- $\rho_e \longrightarrow \rho_i \longrightarrow$  a still unaccessible

Reduced models:

$$\begin{aligned} \text{Transport } \partial_t P(r, t) &= \dots \\ + \text{Zonal Flows } \partial_t \bar{\mathcal{E}}(r, t) &= \dots \\ + \text{turbulence } \partial_t \mathcal{E}(r, t) &= \dots \end{aligned}$$



⇒ LH transition

- \* Numerous hypothesis
- \* Reasonnable cost

Reduced models can help  
as long as hypothesis stay under control!

## What do “reduced model” mean

Start with your preferred non-linear global equation:

$$\underbrace{\partial_t X(\mathbf{r}, \mathbf{v}, t)}_{\text{time evolution}} + \underbrace{\nabla \cdot [\mathbf{u}(\mathbf{r}, t) X(\mathbf{r}, \mathbf{v}, t)]}_{\text{Flux-NonLinear}} = \underbrace{S(\mathbf{r})}_{\text{injection}} - \underbrace{D(\mathbf{r}, \mathbf{v}, t)}_{\text{dissipations}}$$

Separate time scales:

$$\langle \bar{X} \rangle_t = \bar{X} \text{ and } \langle \tilde{X} \rangle_t = 0$$

- \* Long time  $\tau$  *relaxation time*

$$\partial_\tau \bar{X}(\mathbf{r}, \mathbf{v}, \tau) + \left\langle \nabla \cdot \left[ \widetilde{\mathbf{v}_E}(\mathbf{r}, t) \tilde{X}(\mathbf{r}, \mathbf{v}, t) \right] \right\rangle_t = S(\mathbf{r})$$

- \* Short time  $t$  *fluctuations time*

$$\partial_t \tilde{X} + \nabla \cdot \left[ \bar{\mathbf{u}} \tilde{X} + \widetilde{\mathbf{v}_E} \bar{X} \right] + \nabla \cdot \left[ \widetilde{\mathbf{v}_E} \tilde{X} \right] = -D(\mathbf{r}, \mathbf{v}, t)$$

How to describe the  $\mathbf{E} \times \mathbf{B}$  nonlinearity  $\widetilde{\mathbf{v}_E} \cdot \nabla \tilde{X} \propto \mathbf{b} \times \nabla \tilde{\phi} \cdot \nabla \tilde{X}$  ??

- \* Quasi-linear theory: neglect non-linear term at short times  
fast linear response + slow profile evolution
- \* Shell Models: keep the fast dynamics, truncate convolution

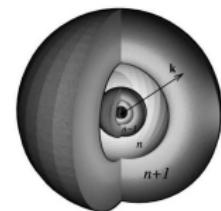
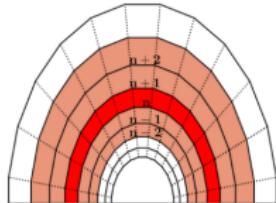
## A hierarchy of reduced models

Fourier transform the  $\mathbf{E} \times \mathbf{B}$  nonlinearity:

$$\mathbf{b} \times \nabla \phi \cdot \nabla f \longrightarrow \sum_{\mathbf{k}+\mathbf{p}+\mathbf{q}=0} [\mathbf{b} \times \mathbf{p} \cdot \mathbf{q}] \phi_{\mathbf{p}} f_{\mathbf{q}}$$

Shell models:

- ★ logarithmic  $k$ -grid:  $k_n = k_0 g^n$
- ★ isotropy of the unknowns:  $\phi_{\mathbf{p}} = \phi_p$ ,  $f_{\mathbf{q}} = f_q$
- ★ only local interactions:  $(p, q) = n \pm 1, n \pm 2$

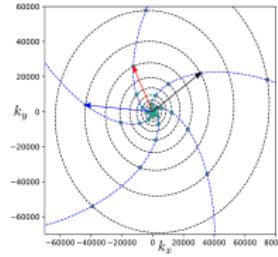


LDM approach:

- ★ logarithmic  $k$ -grid:  $k_n = k_0 g^n$
- ★ keep the angular dependence:  $\phi_{\mathbf{p}} = \phi(p_n, \theta_j) = \phi_n^j$
- ★ local interactions with triangle conditions

Spiral chains:

- ★ spiral  $k$ -grid:  $\mathbf{k}_n = k_0 g^n e^{in\varphi}$
- ★ form exact triangles
- ★ relax the locality constraint  $n \pm 1, n \pm 3$



# Kinetic model of trapped particles

Trapped particle motion:

- ★ very fast gyromotion  $\Omega_{cs}$
- ★ fast bounce  $\omega_{bs} \ll \Omega_{cs}$
- ★ slow turbulence  $\omega \ll \omega_{bs}$

“Bounce-average gyrokinetics”:<sup>1</sup>

$$\begin{aligned}\alpha &\approx \text{toroidal angle} \\ \psi &\approx \text{radius}\end{aligned}$$

$$\partial_t F_s + \frac{\Omega_d E}{Z_s} \partial_\alpha F_s - \partial_\psi F_s \partial_\alpha \chi_s + \partial_\psi \chi_s \partial_\alpha F_s = 0$$

allow to describe TIM / TEM

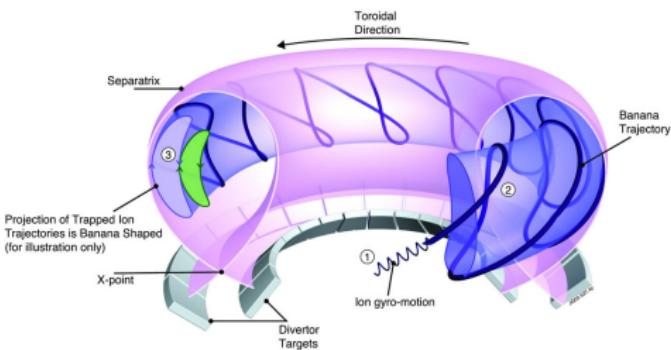
Separate profiles ( $F_{0s}$  supposedly known) and fluctuations ( $\delta f_s$ ) + Fourier transform:

$$\partial_t f_{sk} = ik_\alpha \partial_\psi F_{s0} \chi_{sk} - ik_\alpha \frac{\Omega_d E}{Z_s} f_{sk} - \sum_{\mathbf{k}+\mathbf{p}+\mathbf{q}=0} [\mathbf{b} \times \mathbf{p} \cdot \mathbf{q}] \chi_{sp} f_{sq},$$

$$C_k \phi_{\mathbf{k}} = \sum_s Z_s \int_0^{+\infty} \mathcal{J}_{0s} f_{sk} \sqrt{E} dE.$$

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<sup>1</sup>M. Lesur's talk right after me



## Conservations

$$\partial_t f_{sk} = ik_\alpha \partial_\psi F_{s0} \chi_{sk} - ik_\alpha \frac{\Omega_d E}{Z_s} f_{sk} - \sum_{\mathbf{k}+\mathbf{p}+\mathbf{q}=0} [\mathbf{b} \times \mathbf{p} \cdot \mathbf{q}] \chi_{sp} f_{sq},$$

$$C_k \phi_{\mathbf{k}} = \sum_s Z_s \int_0^{+\infty} \mathcal{J}_{0s} f_{sk} \sqrt{E} dE.$$

Entropy balance:

$$\mathcal{E}_{fs} = T_{s0} \sum_{\mathbf{k}} \int_0^{+\infty} \frac{|f_{sk}|^2}{2F_{s0}} \sqrt{E} dE$$

$$\partial_t \mathcal{E}_{fs} = \left( \frac{3}{2} \kappa_{T_s} - \kappa_{ns} \right) T_{s0} \Gamma_s - \kappa_{T_s} Q_s - D_s$$

Electrostatic energy balance:

$$\mathcal{E}_\phi = \sum_{\mathbf{k}} C_k \frac{|\phi_{\mathbf{k}}|^2}{2}$$

$$\partial_t \mathcal{E}_\phi = -\Omega_d \sum_s Q_s - D_\phi$$

Radial fluxes:

$$\Gamma_s = \sum_{\mathbf{k}} ik_\alpha \phi_{\mathbf{k}} \int_0^{+\infty} \mathcal{J}_{0s}^k f_{sk}^\star \sqrt{E} dE,$$

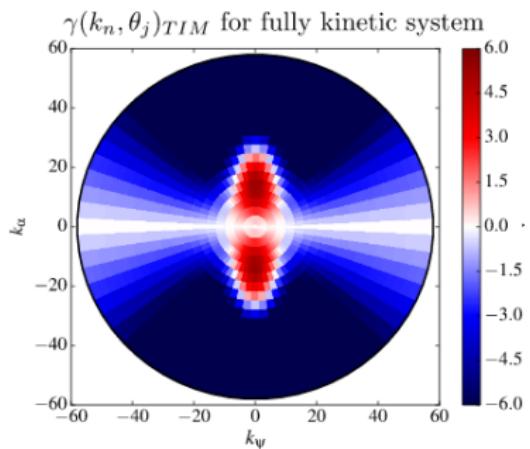
$$Q_s = \sum_{\mathbf{k}} ik_\alpha \phi_{\mathbf{k}} \int_0^{+\infty} \mathcal{J}_{0s}^k f_{sk}^\star E^{3/2} dE.$$

## TIM and TEM: linear modes

Linear dispersion relation:

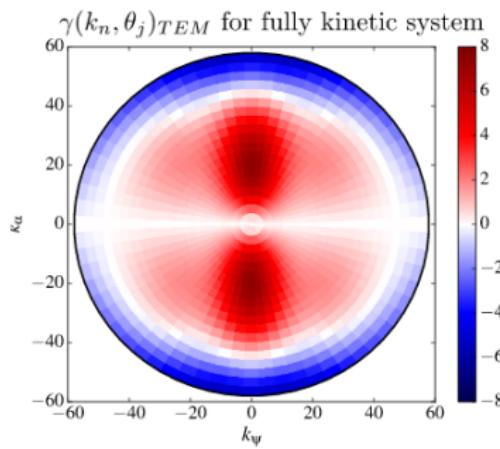
$$C_k + \sum_s Z_s \int_0^{+\infty} \frac{\mathcal{J}_{0s}^2 k_\alpha \partial_\psi F_{s0}}{\omega - k_\alpha \Omega_d E / Z_s} \sqrt{E} dE = 0$$

TIM modes:



- ★  $\gamma_{TIM} < \gamma_{TEM}$
- ★ TIM peak at largest scales than TEM

TEM modes:



anisotropy is important  
at least for linear injection

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## GOY/Sabra models

GOY<sup>2</sup>/Sabra<sup>3</sup> models:

- ★ logarithmic  $k$ -grid:  $k_n = k_0 g^n$
- ★ isotropy of the unknowns:  $\phi_{\mathbf{p}} = \phi_p$ ,  $f_{\mathbf{q}} = f_q$
- ★ only local interactions:  $(p, q) = n \pm 1, n \pm 2$

Sabra version:<sup>4</sup>

$$\partial_t f_{sn} = ik_n \chi_{sn} \partial_\psi F_{s0} - ik_n \frac{\Omega_d E}{Z_s} f_{sn}^{s,j} + \alpha \frac{k_n^2}{g} [g^{-2} (\chi_{s,n-2} f_{s,n-1} - \chi_{s,n-1} f_{s,n-2}) - (\chi_{s,n-1}^* f_{s,n+1} - \chi_{s,n+1} f_{s,n-1}^*)] + g^2 (\chi_{s,n+1}^* f_{s,n+2} - \chi_{s,n+2} f_{s,n+1}^*)]$$

★ source: equilibrium gradients

$$\partial_\psi F_{s0} \propto \frac{\nabla n_s}{n_s} + \left( E - \frac{3}{2} \right) \frac{\nabla T_s}{T_s}$$

★ advection with precession frequency  $\Omega_d$

★ non-linear couplings:  $n$  with  $\{n \pm 1; n \pm 2\}$

**Note the complex conjugates \***

GOY version: CC everywhere

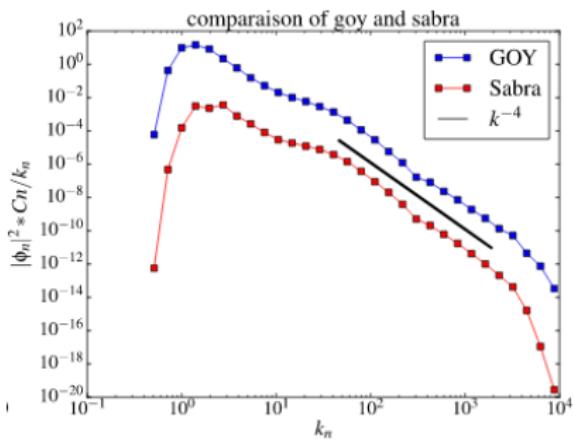
<sup>2</sup>Gledzer, Dokl. Akad. Nauk SSSR (1973), and Yamada, Ohkitani, J. Phys. Soc. Jpn (1987)

<sup>3</sup>L'vov, et al, PRE (1998).

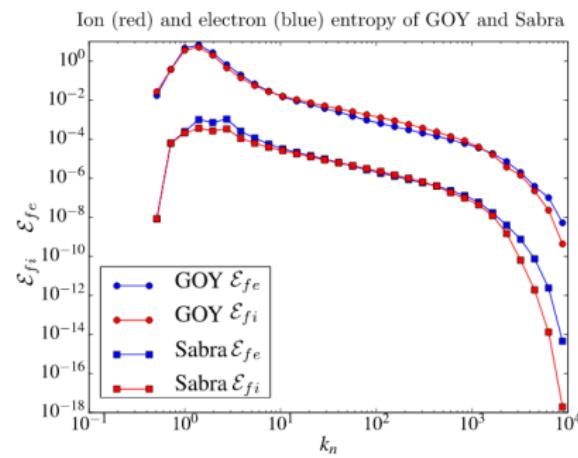
<sup>4</sup>Shaokang Xu, PoP (2018)

# GOY/Sabra: spectrae<sup>5</sup>

Electrostatic energy  $\mathcal{E}_\phi$ :



Entropy  $\mathcal{E}_{f_s}$ :



- similar slopes:

$$\mathcal{E}_\phi \sim k^{-4}$$

$$\mathcal{E}_{f_s} \sim k^{-1}$$

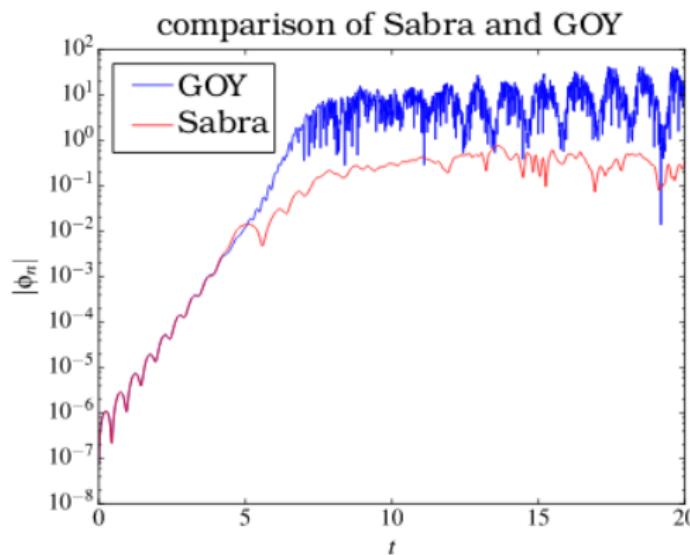
- Saturation levels differ from  $\approx 3$  decades ?

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<sup>5</sup>Shaokang Xu, PoP 2018, & R. Lustrat, M2 internship report

## GOY/Sabra: time traces<sup>6</sup>

Electrostatic energy  $\mathcal{E}_\phi$ :



- ★ very different time behaviors !
- ★ GOY displays oscillations
- ★ Sabra is far more chaotic
- ★ only difference: phases

GOY:

$$\partial_t f|_{NL} \propto \chi_{s,n-1}^* f_{s,n+1}^*$$

Sabra:

$$\partial_t f|_{NL} \propto \chi_{s,n-1}^* f_{s,n+1}$$

⇒ phase dependence matters!

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<sup>6</sup>Shaokang Xu, PoP 2018

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## LDM equation<sup>7</sup>

- ★ logarithmic  $k$ -grid:  $k_n = k_0 g^n$  + **regular  $\theta_k$ -grid:**  $\theta^j = j \frac{2\pi}{M_\theta}$
- ★ keep the angular dependence:  $\phi_p = \phi(p_n, \theta_j) = \phi_n^j$
- ★ local interactions:  $n$  coupled to  $n \pm 1, n \pm 2$

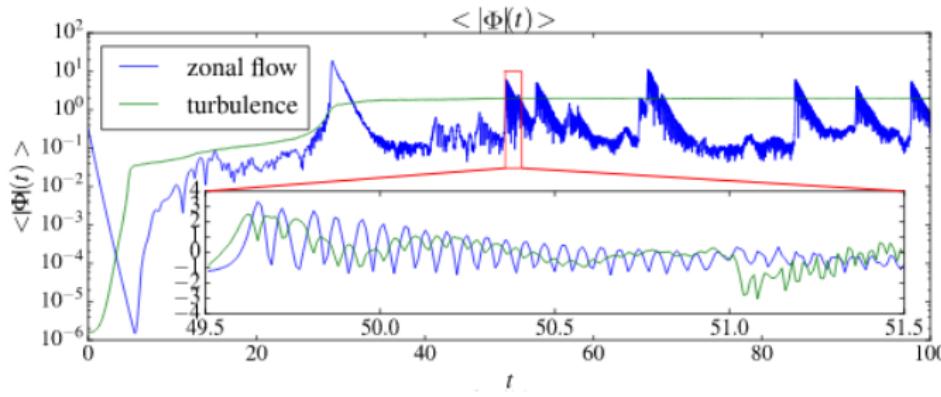
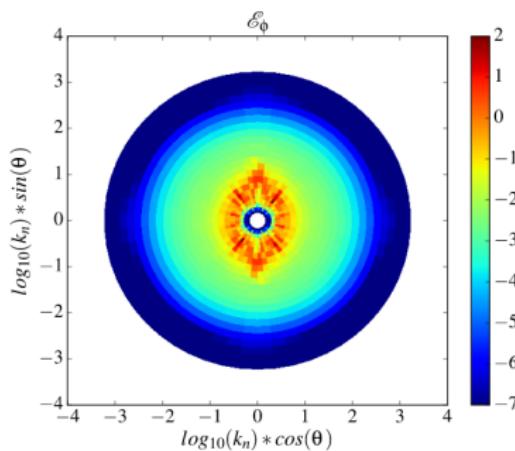
$$\begin{aligned} \partial_t f_{\ell,n}^{s,j} &= ik_\alpha \chi_{\ell,n}^s \partial_\psi F_{eq}^s - ik_\alpha \frac{E_\ell \Omega_d}{Z} f_{\ell,n}^{s,j} \\ &\quad + \frac{k_n^2 g^{-4}}{2} \sqrt{\mu_0} \left[ \chi_{\ell,n-2}^{*j+r_0} f_{\ell,n-1}^{*j-s_0} - \chi_{\ell,n-1}^{*j-s_0} f_{\ell,n-2}^{*j+r_0} + \chi_{\ell,n-1}^{*j+s_0} f_{\ell,n-2}^{*j-r_0} - \chi_{\ell,n-2}^{*j-r_0} f_{\ell,n-1}^{*j+s_0} \right] \\ &\quad + \frac{k_n^2 g^{-2}}{2} \sqrt{\mu_0} \left[ \chi_{n-1}^{*j+\ell_0} f_{\ell,n+1}^{*j-s_0} - \chi_{\ell,n+1}^{*j-s_0} f_{\ell,n-1}^{*j+\ell_0} + \chi_{\ell,n+1}^{*j+s_0} f_{\ell,n-1}^{*j-\ell_0} - \chi_{\ell,n-1}^{*j-\ell_0} f_{\ell,n+1}^{*j+s_0} \right] \\ &\quad + \frac{k_n^2}{2} \sqrt{\mu_0} \left[ \chi_{\ell,n+1}^{*j+\ell_0} f_{\ell,n+2}^{*j-r_0} - \chi_{\ell,n+2}^{*j-r_0} f_{\ell,n+1}^{*j+\ell_0} + \chi_{\ell,n+2}^{*j+r_0} f_{\ell,n+1}^{*j-\ell_0} - \chi_{\ell,n+1}^{*j-\ell_0} f_{\ell,n+2}^{*j+r_0} \right] \end{aligned}$$

- ★ source: equilibrium gradients  $\partial_\psi F_{s0} \propto \frac{\nabla n_s}{n_s} + \left( E - \frac{3}{2} \right) \frac{\nabla T_s}{T_s}$
- ★ advection with precession frequency  $\Omega_d$
- ★ non linear couplings  $n$  with  $\{n \pm 1; n \pm 2\}$ , angular shifts  $\pm r_0, \pm s_0, \pm \ell_0$

<sup>7</sup>Shaokang Xu, PoP 2018

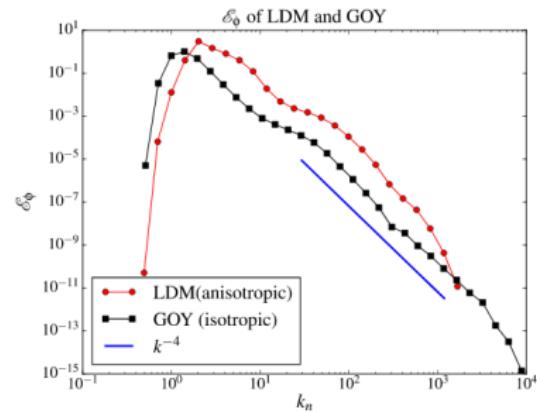
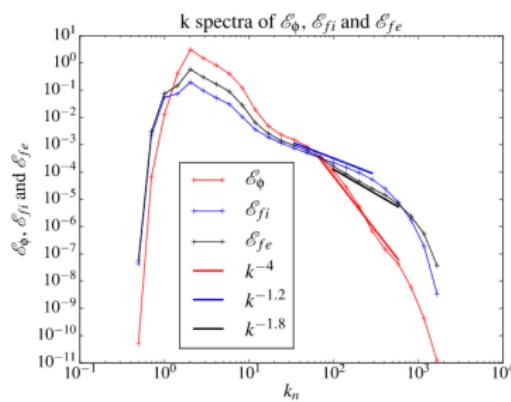
## LDM simulations

- \* LDM :  $\phi(k_n, \theta_j)$
- \* streamers anisotropy (TEM dominate)
- \* time trace  $\phi(t)$   
predator (ZF) vs prey (turb.)  
intermittent, bursts ?
- ZF with local couplings ?



# LDM simulations

*k*-spectrae:



- ★  $\mathcal{E}_\phi \sim k^{-4}$
- ★  $\mathcal{E}_{f_s} \sim k^{-1} - k^{-2}$
- ★ do not depend much on model chosen
- ★ do not depend much on phase dynamics

Origin of these slopes ?

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## Differential approximation: Motivation

- ★  $\mathbf{E} \times \mathbf{B}$  nonlinearity:

$$\frac{\partial f}{\partial t} \Big|_{\text{NL}} = \sum_{\mathbf{k}+\mathbf{p}+\mathbf{q}=0} [\mathbf{z} \times \mathbf{p}] \cdot \mathbf{q} \chi_{\mathbf{p}} f_{\mathbf{q}}$$

universal in plasmas

same form in 2D fluid turbulence (stream function  $\psi$ )

- ★ Conserved quantities:

$$\mathcal{E}_f \propto f^2, \quad \mathcal{E}_{\phi} \propto C_k \phi^2.$$

- ★ Find a model allowing to write energies spectral budgets:

$$\partial_t \mathcal{E}_k^{f_s} + \partial_k \Pi_k^{f_s} = \mathcal{G}_k^{f_s} - \mathcal{D}_k^{f_s}$$

$$\partial_t \mathcal{E}_k^{\phi} + \partial_k \Pi_k^{\phi} = \mathcal{G}_k^{\phi} - \mathcal{D}_k^{\phi}$$

$\Pi_k^f, \Pi_k^{\phi}$  are spectral energy fluxes  
play a key role in turbulence

approximation for  $\Pi_k^{f,\phi}$  ?

## Differential approximation: algebra

Assume:

$$k_n = k_0 g^n = k_0 (1 + \epsilon)^n ,$$

with  $\epsilon$  small, at fourth order:

$$k_{n+1} = k_n + \epsilon k_n ,$$

$$f_{n+1} \approx f_n + \epsilon k_n \partial_k f_n + \frac{\epsilon^2 k_n^2}{2} \partial_k^2 f_n + \frac{\epsilon^3 k_n^3}{6} \partial_k^3 f_n + \frac{\epsilon^4 k_n^4}{24} \partial_k^4 f_n ,$$

...

...

Plug into GOY/Sabra truncation  $n \pm 1, n \pm 2$ :

$$\begin{aligned} N &\approx \alpha \frac{k}{\chi} \partial_k \left[ k^2 \chi^{3/2} \partial_k \left( k^2 \chi^{3/2} \partial_k \frac{f}{\chi} \right) \right] \\ &\approx -\alpha \frac{k}{f} \partial_k \left[ k^2 f^{3/2} \partial_k \left( k^2 f^{3/2} \partial_k \frac{\chi}{f} \right) \right] , \end{aligned}$$

- ★ Antisymmetric:  $N[f, \chi] = -N[\chi, f]$
- ★ Construct energies:  $N \times (\chi/k)$  or  $N \times (f/k)$
- ★ no phase involved:  $\chi$  and  $f$  are amplitudes

Poisson bracket

## Passive scalar equations

$$\begin{aligned}\partial_t \nabla^2 \phi + \mathbf{z} \times \nabla \phi \cdot \nabla \nabla^2 \phi &= 0, \\ \partial_t n + \mathbf{z} \times \nabla \phi \cdot \nabla n &= 0.\end{aligned}$$

Differential approximation:

$$\begin{aligned}\partial_t k^2 \phi &= 2\alpha \frac{k}{\phi} \partial_k \left[ k^2 \phi^{3/2} \partial_k \left( k^3 \phi^{3/2} \right) \right], \\ \partial_t n &= -\alpha \frac{k}{n} \partial_k \left[ k^2 n^{3/2} \partial_k \left( k^2 n^{3/2} \partial_k \frac{\phi}{n} \right) \right].\end{aligned}$$

Energy formulation: with injection  $\mathcal{I}$  and dissipations  $\nu_s^{\phi,n}$ ,  $\nu_L^{\phi,n}$

$$\begin{aligned}\partial_t \mathcal{E}_{\phi,k} &= 2\alpha \partial_k \left[ k^{5/4} \mathcal{E}_{\phi,k}^{3/4} \partial_k \left( k^{9/4} \mathcal{E}_{\phi,k}^{3/4} \right) \right] \\ &\quad + \mathcal{I}_{\phi,k} - \nu_s^\phi k^4 \mathcal{E}_{\phi,k}^{1/2} - \nu_L^\phi k^{-6} \mathcal{E}_{\phi,k},\end{aligned}\tag{1}$$

$$\begin{aligned}\partial_t \mathcal{E}_{n,k} &= -\alpha \partial_k \left[ \frac{\mathcal{E}_{n,k}^{3/4}}{k^{-11/4}} \partial_k \left( \frac{\mathcal{E}_{n,k}^{3/4}}{k^{-11/4}} \partial_k \frac{\mathcal{E}_{\phi,k}^{1/2}}{k \mathcal{E}_{n,k}^{1/2}} \right) \right] \\ &\quad + \mathcal{I}_{n,k} - \nu_s^n k^4 \mathcal{E}_{n,k} - \nu_L^n k^{-6} \mathcal{E}_{n,k},\end{aligned}\tag{2}$$

## Passive scalar: spectrae

- ★ Vorticity stationnarity:

$$\partial_k \left[ k^{5/4} \mathcal{E}_{\phi,k}^{3/4} \partial_k \left( k^{9/4} \mathcal{E}_{\phi,k}^{3/4} \right) \right] \approx 0$$

$\mathcal{E}_\phi \sim k^{-3}$  or  $\mathcal{E}_\phi \sim k^{-5/3}$   
recover Kraichnan-Kolmogorov

- ★ Note:

$$\partial_k \left[ k^{5/4} \mathcal{E}_{\phi,k}^{3/4} \partial_k \left( k^{9/4} \mathcal{E}_{\phi,k}^{3/4} \right) \right] = \partial_k \frac{\partial_k \left( k^{9/2} \mathcal{E}_\phi^{3/2} \right)}{k},$$

recover the Leith model

- ★ Passive scalar stationnarity:

$$\partial_k \left[ k^{11/4} \mathcal{E}_{n,k}^{3/4} \partial_k \left( k^{11/4} \mathcal{E}_{n,k}^{3/4} \partial_k \frac{\mathcal{E}_{\phi,k}^{1/2}}{k \mathcal{E}_{n,k}^{1/2}} \right) \right] \approx 0$$

Gives six different slopes:

$$\mathcal{E}_{n,k} \sim \left\{ k^{-5}; k^{-11/3}; k^{-5/3}; k^{-1}; k^{1/3}; k^3 \right\}$$

## Signs of the fluxes

Remind the definition of the fluxes:

$$\partial_t \mathcal{E}_\phi = -\partial_k \Pi_k^\phi \propto \partial_k \frac{\partial_k \left( k^{9/2} \mathcal{E}_\phi^{3/2} \right)}{k},$$

$$\partial_t \mathcal{E}_n = -\partial_k \Pi_k^n \propto -\partial_k \left[ k^{11/4} \mathcal{E}_n^{3/4} \partial_k \left( k^{11/4} \mathcal{E}_n^{3/4} \partial_k \sqrt{\frac{\mathcal{E}_\phi}{k^2 \mathcal{E}_n}} \right) \right]$$

Constant, nonzero, fluxes correspond to :

- \*  $\Pi_k^\phi < 0$  for  $\mathcal{E}_\phi \sim k^{-5/3}$

Inverse energy cascade

- \*  $\Pi_k^n > 0$  for  $\mathcal{E}_\phi \sim k^{-5/3}$  and  $\mathcal{E}_n \sim k^{-5/3}$
- \*  $\Pi_k^n > 0$  for  $\mathcal{E}_\phi \sim k^{-3}$  and  $\mathcal{E}_n \sim k^{-1}$

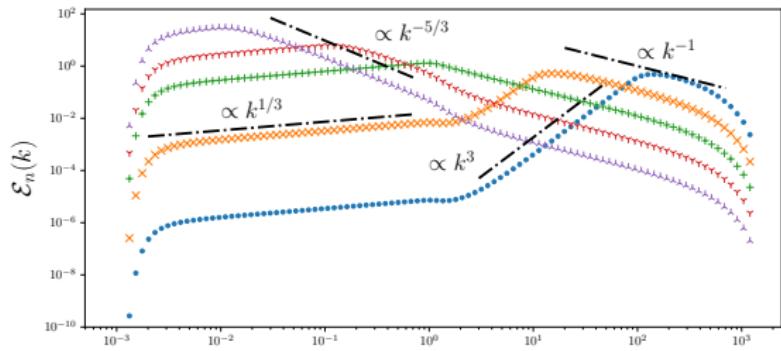
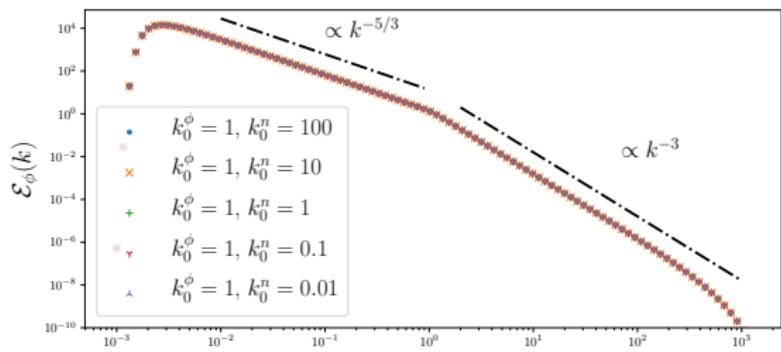
Direct enstrophy cascade  
(in the case  $n = k^2 \phi$ )

# Spectral zoo of the passive scalar

Vary injection location:

- ★  $\mathcal{I}_\phi$ : inject vorticity at  $k_0^\phi = 1.0$
- ★  $\mathcal{I}_n$ : inject passive scalar at  $k_0^n = 10^{\{-2; -1; 0; 1; 2\}}$

$\mathcal{E}_\phi$	$\mathcal{E}_n$	$\Pi_k^\phi$	$\Pi_k^n$
$-5/3$	$-11/3$	—	0
$-5/3$	$-5/3$	—	+
$-5/3$	$1/3$	—	0
$-3$	$-5$	0	0
$-3$	$-1$	0	+
$-3$	$3$	0	0



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## Shell model coupled to radial profiles<sup>8</sup>

- ★ Transport equations: density  $n(r, t)$ , pressure  $P(r, t)$

$$\partial_t n = \nabla \cdot [(D_{neo} + D_{turb}\mathcal{E}) \nabla n] + S_n(r)$$

$$\partial_t P = \nabla \cdot [(\chi_{neo} + \chi_{turb}\mathcal{E}) \nabla P] + S_Q(r)$$

- ★ Turbulence evolution: intensity  $\mathcal{E}(r, t) = \sum_n \phi_n \phi_n^*$

$$\partial_t \phi_n = F_n - \nu_L k_n^{-6} \phi_n - \nu_s k_n^4 \phi_n + D_{\mathcal{E}} \nabla^2 \phi_n$$

$$-\bar{\alpha} \frac{q k_n \bar{\phi}^*}{1 + k_n^2} \left[ g (1 + g^2 k_n^2 - q^2) \phi_{n+1}^* - \left(1 + \frac{k_n^2}{g^2} - q^2\right) \phi_{n-1}^* \right]$$

$$+\alpha \frac{k_n^4 (g^2 - 1)}{1 + k_n^2} [g^{-7} \phi_{n-2}^* \phi_{n-1}^* - (g^2 + 1) g^{-3} \phi_{n-1}^* \phi_{n+1}^* + g^3 \phi_{n+1}^* \phi_{n+2}^*]$$

- ★ Mean flow evolution:  $\bar{\phi}(r, t)$  at scale  $q$

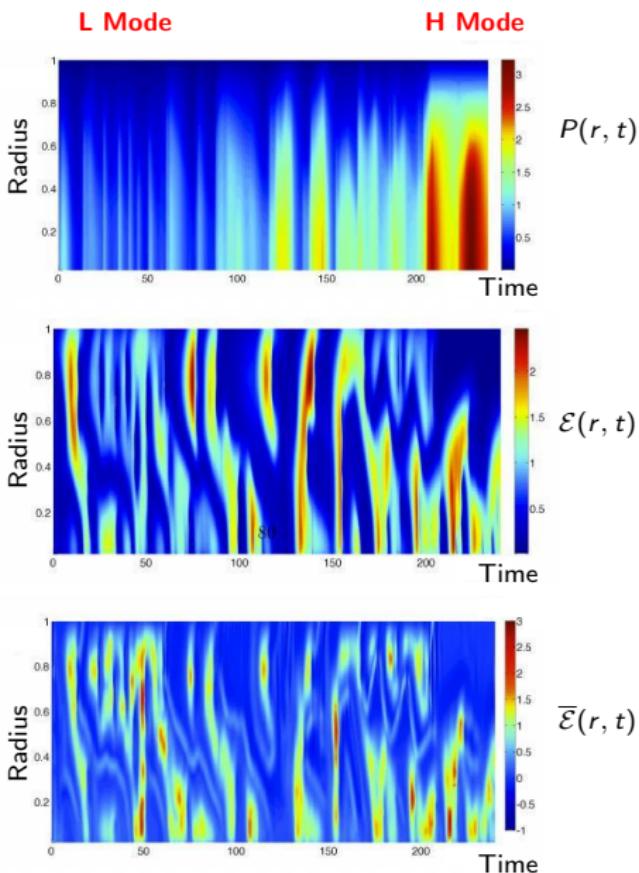
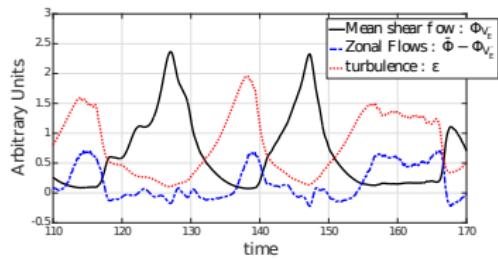
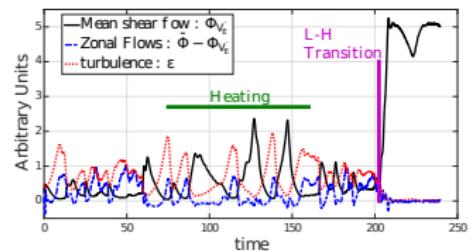
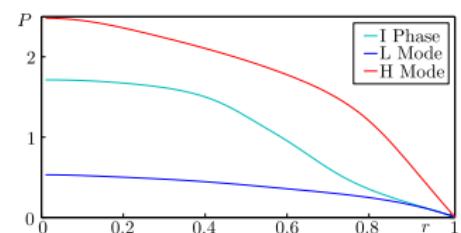
$$\partial_t \bar{\phi} = \bar{\alpha} \sum_n \frac{k_n^3 g (g^2 - 1)}{q} \phi_n^* \phi_{n+1}^* - \nu_F [\bar{\phi} - \phi_{V'_E}(r, t)]$$

radial force balance:  $\phi_{V'_E} = \frac{\eta}{q^2} \nabla P \cdot \nabla n$

---

<sup>8</sup>V. Berionni, PoP 2017, see also Miki and Diamond for numerous works on similar system

## Shell model and LH transition



# Plan

- 1 Framework
- 2 Kinetic shell models
- 3 kinetic LDM model
- 4 Differential approximation
- 5 Reduced model for LH transition
- 6 Discussion
- 7 Bonus

## Discussion - TODO list

- ★ hierarchy of reduced models available

shell → spiral → LDM  
differential approximation

- ★ shell models describe LH transition

incorrect growth rate information  
(R. Singh and R. Heinonen for similar dicussion)  
miss phase information

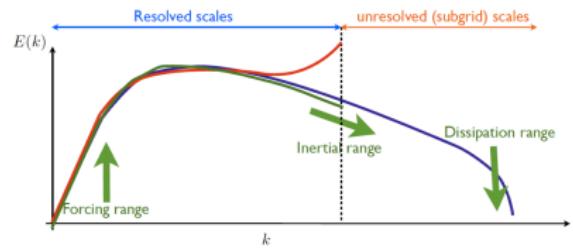
- ★ LDM model more accurate

key ingredient lacking is the nonlocal coupling  
(in  $k$ -space)

- ★ an UFO: differential approximation

deserves adaptation to plasmas

- ★ candidates for "sub-grid" models ?



# Plan

- 1 Framework
- 2 Kinetic shell models
- 3 kinetic LDM model
- 4 Differential approximation
- 5 Reduced model for LH transition
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- 7 Bonus

## Back to TEM+TIM system : phase representation

We have to solve :

$$\begin{aligned}\partial_t f_{sk} &= ik_\alpha \partial_\psi F_{s0} \mathcal{J}_{0s} \phi_k - ik_\alpha \frac{\Omega_d E}{Z_s} f_{s,k} - \sum_{k+p+q=0} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \mathcal{J}_{0s} \phi_p^* f_{sq}^*, \\ C_k \phi_k &= \sum_s Z_s \int_0^{+\infty} \mathcal{J}_{0s} f_{sk} \sqrt{E} dE.\end{aligned}$$

Decompose the distribution function into phase/amplitude:

$$f_{sk} = |f_{sk}| e^{i\varphi_k}$$

$$\begin{aligned}\partial_t |f_{sk}| &= -k_\alpha \partial_\psi F_{s0} \Im \left[ \mathcal{J}_{0s} \phi_k e^{-i\varphi_k} \right] - \sum_{k+p+q} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \Re \left[ \mathcal{J}_{0s} \phi_p^* |f_{sq}| e^{-i(\varphi_q + \varphi_k)} \right], \\ \partial_t \varphi_{f_{sk}} &= k_\alpha \partial_\psi F_{s0} \frac{\Re \left[ \mathcal{J}_{0s} \phi_k e^{-i\varphi_k} \right]}{|f_{sk}|} - k_\alpha \frac{\Omega_d E}{Z_s} \\ &\quad - \sum_{k+p+q=0} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \Im \left[ \mathcal{J}_{0s} \phi_p^* e^{-i(\varphi_q + \varphi_k)} \right] \frac{|f_{sq}|}{|f_{sk}|}, \\ C_k \phi_k &= \sum_s Z_s \int_0^{+\infty} \mathcal{J}_{0s} |f_{sk}| e^{i\varphi_k}.\end{aligned}$$

## Phase representation of TEM+TIM

$$\begin{aligned}\partial_t |f_{sk}| &= -k_\alpha \partial_\psi F_{s0} \Im \left[ J_{0s} \phi_k e^{-i\varphi_k} \right] - \sum_{\mathbf{k}+\mathbf{p}+\mathbf{q}} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \Re \left[ J_{0s} \phi_p^* |f_{sq}| e^{-i(\varphi_q + \varphi_k)} \right], \\ \partial_t \varphi_k &= -k_\alpha \frac{\Omega_d E}{Z_s} + k_\alpha \partial_\psi F_{s0} \frac{\Re \left[ J_{0s} \phi_k e^{-i\varphi_k} \right]}{|f_{sk}|} \\ &\quad - \sum_{\mathbf{k}+\mathbf{p}+\mathbf{q}=0} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \Im \left[ J_{0s} \phi_p^* e^{-i(\varphi_q + \varphi_k)} \right] \frac{|f_{sq}|}{|f_{sk}|}, \\ C_k \phi_k &= \sum_s Z_s \int_0^{+\infty} J_{0s} |f_{sk}| e^{i\varphi_k} \left( = C_k |\phi_k| e^{i\overline{\varphi_k}} \right).\end{aligned}$$

★ **Injection wrt amplitude:**

linear, due to equilibrium gradients  $\propto \partial_\psi F_{s0}$   
contains the radial fluxes of heat and particles

anisotropy:  $k_\alpha = k \sin \theta_k$

phase relationship:  $\Im [J_{0s} \phi_k e^{-i\varphi_k}] \propto \sin(\overline{\varphi_k} - \varphi_k)$   
 $\Rightarrow$  null for  $\overline{\varphi_k} - \varphi_k = 0$   
 correspond to **stable drift waves**

## Phase representation of TEM+TIM

$$\begin{aligned}\partial_t |f_{sk}| &= -k_\alpha \partial_\psi F_{s0} \Im \left[ \mathcal{J}_{0s} \phi_k e^{-i\varphi_k} \right] - \sum_{\mathbf{k}+\mathbf{p}+\mathbf{q}} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \Re \left[ \mathcal{J}_{0s} \phi_p^* |f_{sq}| e^{-i(\varphi_q + \varphi_k)} \right], \\ \partial_t \varphi_k &= -k_\alpha \frac{\Omega_d E}{Z_s} + k_\alpha \partial_\psi F_{s0} \frac{\Re \left[ \mathcal{J}_{0s} \phi_k e^{-i\varphi_k} \right]}{|f_{sk}|} \\ &\quad - \sum_{\mathbf{k}+\mathbf{p}+\mathbf{q}=0} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \Im \left[ \mathcal{J}_{0s} \phi_p^* e^{-i(\varphi_q + \varphi_k)} \right] \frac{|f_{sq}|}{|f_{sk}|}, \\ C_k \phi_k &= \sum_s Z_s \int_0^{+\infty} \mathcal{J}_{0s} |f_{sk}| e^{i\varphi_k} \left( = C_k |\phi_k| e^{i\overline{\varphi_k}} \right) .\end{aligned}$$

★ Injection wrt amplitude

★ Nonlinear transfers:

triangles  $\mathbf{k} + \mathbf{p} + \mathbf{q} = 0$

phase relationship:  $\Re \left[ \mathcal{J}_{0s} \phi_p^* |f_{sq}| e^{-i(\varphi_q + \varphi_k)} \right] \propto \cos (\varphi_k + \varphi_q + \overline{\varphi_p})$   
 max for  $\varphi_k + \overline{\varphi_p} + \varphi_q = 0$

## Phase representation of TEM+TIM

$$\begin{aligned}\partial_t |f_{sk}| &= -k_\alpha \partial_\psi F_{s0} \Im \left[ \mathcal{J}_{0s} \phi_k e^{-i\varphi_k} \right] - \sum_{k+p+q} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \Re \left[ \mathcal{J}_{0s} \phi_p^* |f_{sq}| e^{-i(\varphi_q + \varphi_k)} \right], \\ \partial_t \varphi_k &= -k_\alpha \frac{\Omega_d E}{Z_s} + k_\alpha \partial_\psi F_{s0} \frac{\Re \left[ \mathcal{J}_{0s} \phi_k e^{-i\varphi_k} \right]}{|f_{sk}|} \\ &\quad - \sum_{k+p+q=0} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \Im \left[ \mathcal{J}_{0s} \phi_p^* e^{-i(\varphi_q + \varphi_k)} \right] \frac{|f_{sq}|}{|f_{sk}|}, \\ C_k \phi_k &= \sum_s Z_s \int_0^{+\infty} \mathcal{J}_{0s} |f_{sk}| e^{i\varphi_k} \left( = C_k |\phi_k| e^{i\overline{\varphi_k}} \right) .\end{aligned}$$

- ★ **Injection wrt amplitude**
- ★ **Nonlinear transfers**
- ★ **ballistic phase:**

$\propto Z_s$

linear growth/decrease of phase  
 $\propto k_\alpha, E$

## Phase representation of TEM+TIM

$$\begin{aligned}\partial_t |f_{sk}| &= -k_\alpha \partial_\psi F_{s0} \Im \left[ J_{0s} \phi_k e^{-i\varphi_k} \right] - \sum_{\mathbf{k}+\mathbf{p}+\mathbf{q}} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \Re \left[ J_{0s} \phi_p^* |f_{sq}| e^{-i(\varphi_q + \varphi_k)} \right], \\ \partial_t \varphi_k &= -k_\alpha \frac{\Omega_d E}{Z_s} + k_\alpha \partial_\psi F_{s0} \frac{\Re \left[ J_{0s} \phi_k e^{-i\varphi_k} \right]}{|f_{sk}|} \\ &\quad - \sum_{\mathbf{k}+\mathbf{p}+\mathbf{q}=0} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \Im \left[ J_{0s} \phi_p^* e^{-i(\varphi_q + \varphi_k)} \right] \frac{|f_{sq}|}{|f_{sk}|}, \\ C_k \phi_k &= \sum_s Z_s \int_0^{+\infty} J_{0s} |f_{sk}| e^{i\varphi_k} \left( = C_k |\phi_k| e^{i\overline{\varphi_k}} \right).\end{aligned}$$

- ★ Injection wrt amplitude
- ★ Nonlinear transfers
- ★ ballistic phase
- ★ phase coupling wrt energy  $E$ :

background gradients  $\propto \partial_\psi F_{s0}$

anisotropy:  $\propto k_\alpha = k \sin \theta_k$

phase relationship:  $\Re [J_{0s} \phi_k e^{-i\varphi_k}] \propto \cos(\overline{\varphi_k} - \varphi_k)$   
 $\Rightarrow$  max for  $\overline{\varphi_k} - \varphi_k = 0$

Kuramoto model:  $d_t \theta_i = \omega_i + K r \sin(\psi - \theta_i)$

## Phase representation of TEM+TIM

$$\begin{aligned}\partial_t |f_{sk}| &= -k_\alpha \partial_\psi F_{s0} \Im \left[ \mathcal{J}_{0s} \phi_k e^{-i\varphi_k} \right] - \sum_{\mathbf{k}+\mathbf{p}+\mathbf{q}} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \Re \left[ \mathcal{J}_{0s} \phi_p^* |f_{sq}| e^{-i(\varphi_q + \varphi_k)} \right], \\ \partial_t \varphi_k &= -k_\alpha \frac{\Omega_d E}{Z_s} + k_\alpha \partial_\psi F_{s0} \frac{\Re \left[ \mathcal{J}_{0s} \phi_k e^{-i\varphi_k} \right]}{|f_{sk}|} \\ &\quad - \sum_{\mathbf{k}+\mathbf{p}+\mathbf{q}=0} [\mathbf{z} \times \mathbf{p} \cdot \mathbf{q}] \Im \left[ \mathcal{J}_{0s} \phi_p^* e^{-i(\varphi_q + \varphi_k)} \right] \frac{|f_{sq}|}{|f_{sk}|}, \\ C_k \phi_k &= \sum_s Z_s \int_0^{+\infty} \mathcal{J}_{0s} |f_{sk}| e^{i\varphi_k} \left( = C_k |\phi_k| e^{i\overline{\varphi_k}} \right).\end{aligned}$$

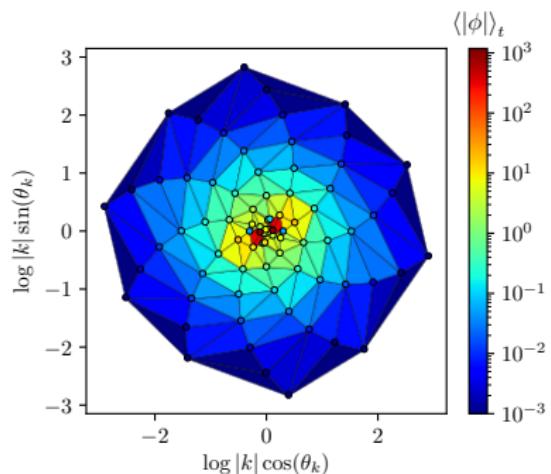
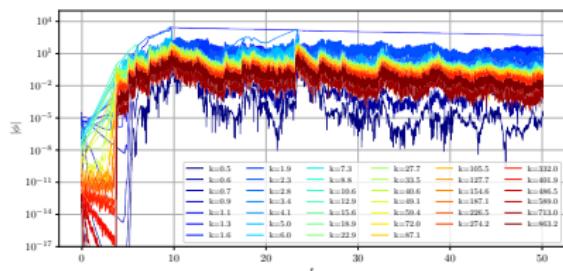
- ★ Injection wrt amplitude
- ★ Nonlinear transfers
- ★ ballistic phase
- ★ phase coupling wrt energy  $E$
- ★ phase coupling wrt  $k$ :

triangles  $\mathbf{k} + \mathbf{p} + \mathbf{q} = 0$

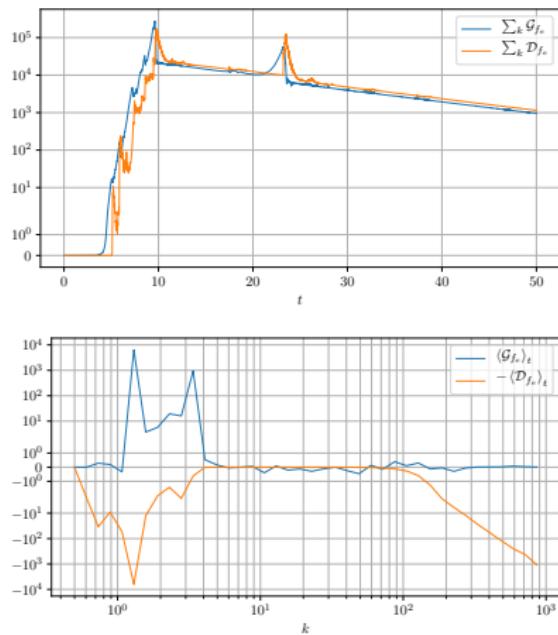
$$\Im \left[ \mathcal{J}_{0s} \phi_p^* e^{-i(\varphi_q + \varphi_k)} \right] \propto \sin (\varphi_k + \overline{\varphi_p} + \varphi_q) \\ \Rightarrow \text{minimal for maximal nonlinear transfers}$$

# Phase dynamics in TEM

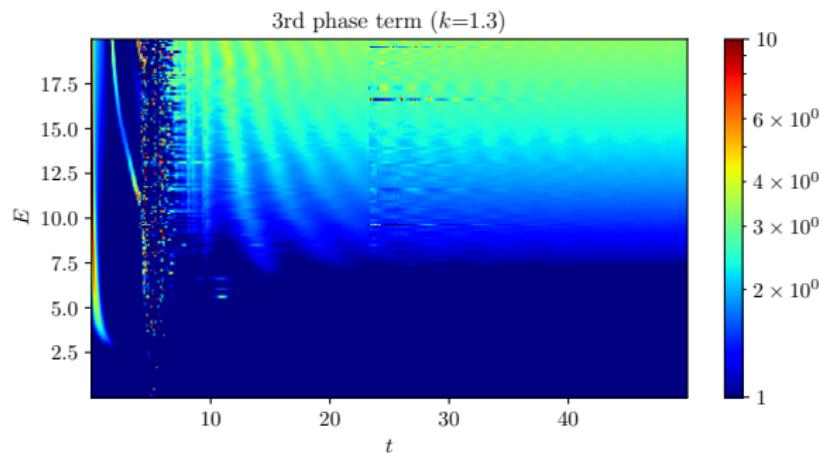
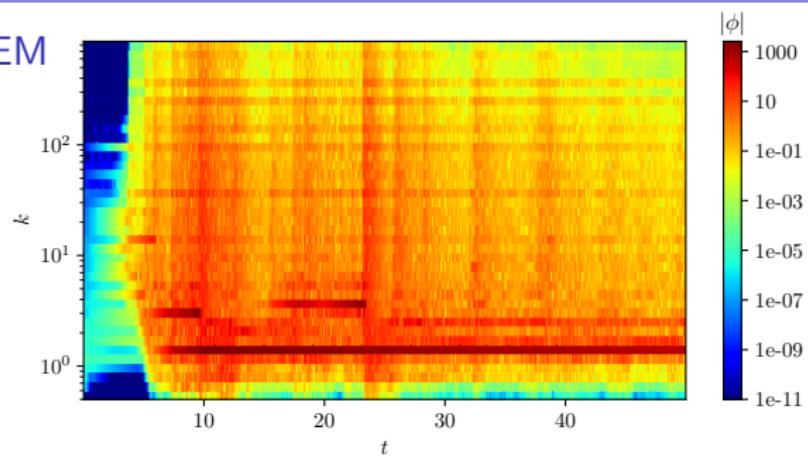
TEM driven simulation + phase representation



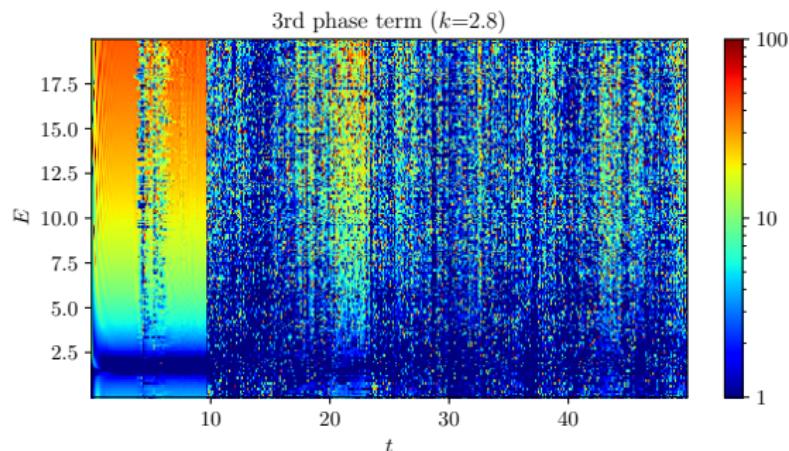
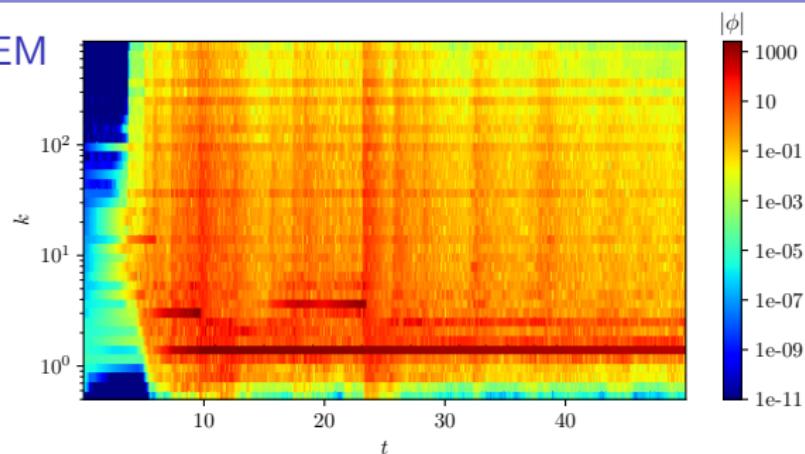
## Phase dynamics in TEM



## Phase dynamics in TEM



## Phase dynamics in TEM



# Phase dynamics in TEM

## Perspectives

- ★ scalaire passif :

$Pr \ll 1$  :  $\mathcal{E}_n$  suramorti

solution analytique zones dissipatives ?  
avec  $\mathcal{E}_\phi \propto k^{-3}$

$$d_k \left[ k^{11/4} \mathcal{E}_n^{3/4} \partial_k \left( k^{11/4} \mathcal{E}_n^{3/4} d_k \sqrt{\frac{k^{-3}}{k^2 \mathcal{E}_n}} \right) \right] + \nu_s^n k^2 \mathcal{E}_n = 0$$

$Pr \gg 1$  :  $\mathcal{E}_\phi$  suramorti

$$d_k \left[ \frac{d_k \left( k^{17/2} \mathcal{E}_\phi^{3/2} \right)}{k} \right] - \nu_s^\phi k^4 \mathcal{E}_\phi = 0$$

- ★ anisotropie : en tenir compte en posant :

$$p = k(1 + \epsilon_p)$$

$$q = k(1 + \epsilon_q)$$

$\Rightarrow$  développement limité à deux variables ( $\epsilon_p, \epsilon_q$ )

$$\begin{aligned} \Rightarrow & \text{déformation des angles } \alpha_p = \arccos \frac{p^2 + k^2 - q^2}{2pk} \\ & = \arccos \frac{1 + \epsilon_p(2 + \epsilon_p) - \epsilon_q(2 + \epsilon_q)}{2 + 2\epsilon_p} \end{aligned}$$

- ★ cas gyrocinétique : gyromoyennes ??