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History of research into confinement improvement F. Wagner Max-Planck-Institut für Plasmaphysik, Greifswald

Special issue:

Plasma physics in the 20th century as told by players

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Editorial Editorial introduction to the special issue "Plasma physics in the 20th century as told by players" Patrick H. Diamond, Uriel Frisch and Yves Pomeau Published online: 30 November 2018 DOI: 10.1140/epjl/ve2018-90061-5 Abstract | PDF (327.0 KB)

Oral history interview

An interview with Roald Sagdeev: his story of plasma physics in Russia, 1956–1988 Roald Z. Sagdeev and Patrick H. Diamond Published online: 23 October 2018 DOI: 10.1140/epjh/2018-9042-3 Abstract | PDF (434.6 KB)

From thermonuclear fusion to Hamiltonian chaos D.F. Escande Published online: 19 January 2017 DOI: 10.1140/epjl/se2016-70063-5 Abstract | PDF (585.7 KB)

 Wave-particle and wave-wave interactions in hot plasmas: a French historical point of view

 Guy Laval, Denis Pesme and Jean-Claude Adam

 Published online: 01 November 2016

 DOI: 10.11140/epity/e2016-70050-2

 Abstract [PDF (1.101 MB)

The Joint European Torus (JET) Paul-Henri Rebut Published online: 27 February 2017 DOI: 10.1140/epjh/e2017-70068-y Abstract | PDF (3.002 MB)

Strong turbulence, self-organization and plasma confinement Akira Hasegawa and Kunioki Mima Published online: 26 October 2018 DOI: 10.1140/epjn/e2018-90033-4 Abstract [PDF (1.285 MB)

Open Access

The history of research into improved confinement regimes F. Wagner Published online: 05 January 2017 DOI: 10.1140/epjh/e2016-70064-9 Abstract | PDF (1.154 MB)

The large tokamak JT-60: a history of the fight to achieve the Japanese fusion research mission Mitsuru Kkuchi Published online: 23 November 2018 DOI: 10.1140/epil/e2018-90054-2 Abstract [PDF (3.898 MB)

Eur. Phys. J. H **43**, 523–549 (2018) https://doi.org/10.1140/epjh/e2016-70064-9

The European Physical Journal H

The history of research into improved confinement regimes

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Abstract. Increasing the pressure by additional heating of magnetically confined plasmas had the consequence that turbulent processes became more violent and plasma confinement degraded. Since this experience from the early 1980ies, fusion research was dominated by the search for confinement regimes with improved properties. It was a gratifying experience that turbulence diminishes, resulting in a confinement with good prospects to reach the objectives of fusion R&D. The understanding of improved confinement regimes revolutionized the understanding of turbulent transport in high-temperature plasmas. In this paper the story of research into improved confinement regimes will be narrated starting with 1980.

Importance of the energy confinement time τ_{E}

A burning fusion reactor has to meet the $\langle n \rangle \langle T \rangle \tau_F - condition$: ~ $10^{20} \text{ m}^3 10 \text{ keV} 3 \text{ sec}$

 \rightarrow a high confinement time τ_E is the key to a fusion reactor

Power balance under steady-state: $3/2 < n > T > Vol = (P_{fus} + P_{aux}) \tau_E$

Confinement and transport: $W/\tau_E = -n \chi$ gradT surface $\rightarrow \tau_E \sim a^2/\chi$

 χ is the heat diffusivity

heat is transported via Coulomb-collisions and by turbulence

apart from exotic conditions, τ_E is governed by plasma turbulence (also τ_p , τ_{Φ})

Though there is tremendous progress in understanding transport, predictions are still vague – e.g. what will be the confinement time of ITER?

 \rightarrow statistical analysis and empirical scaling rules provide τ_{E} values



Neo-Alcator scaling: Middle of the 70ies



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The first disappointment: the SOC regime

 τ_{E} saturates toward higher densities



First communicated 1978:

GONDHALEKAR, A., GRANETZ, R., GWINN, D., HUTCHINSON, I., KUSSE, B., et al., in Plasma Physics and Controlled Nuclear Fusion Research 1978 (Proc. 7th Int. Conf. Innsbruck, 1978), Vol.1, IAEA, Vienna (1979) 199.

The causes for the $\tau_{\text{E}}\text{-saturation}$

This question needs the understanding of turbulent transport

energy is transported by potential fluctuations in the plasma causing fluctuating drifts basic electrostatic instabilities : **drift waves**

Driving forces: gradients

- ∇T_e : ETG (electron-temp. gradient-mode, core)
- ∇T_i : ITG-mode (ion-temp. gradient-mode, core/edge)

 ∇ n: TEM (trapped electron mode, edge)



- $\label{eq:k_theta} \begin{aligned} \textbf{k}_{\theta} &= \textbf{poloidal eddy wave vector} \\ \rho_s &= \textbf{Larmor radius at ion sound velocity} \end{aligned}$
- R = major radius
- $L_n = n/\nabla n$: density gradient length

after J. Weiland

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edge

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Driving forces: gradients

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∇n: TEM (trapped electron mode, edge)

Reality more complex:

critical onset gradients marginal stability conditions profile resilience development of large radial scales (streamers) zonal flows (ZF, GAMs)

Important parameters:

q, shear, reversed shear collisionality, Z_{eff} , T_e/T_i , fast particles





 R/L_n

DD

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The causes for the $\tau_{\text{E}}\text{-saturation}$



Towards higher density:

Ions are heated by electron collisions Ion gradient increases Density profile becomes flatter (source to edge) ITG turbulence is enhanced



 R/L_n

30 years later: core toroidal rotation changes direction at LOC \rightarrow SOC

Ways to overcome this problem: external

Particle source to core by pellet fuelling



Pellet fuelling 1984



important: the improved state can be initiated

Ways to overcome this problem: internal

IOC-mode of ASDEX, 1988



Self-induced improvement Different branches: SOC-IOC trick: change edge conditions by reducing edge fuelling

 \square

Ways to overcome this problem

Z-mode (ISX)-B-mode (T10)-RI-mode (TEXTOR)



Fusion Energy Division, Oak Ridge National Laboratory, Oak Ridge, Tennessee 37830, USA



 \rightarrow effect of L_n on ITG stability robust



A new epoch: auxiliary heating

"





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A new epoch: auxiliary heating

In the 70ies, start of auxiliary heating in the MW-range. Why? $\sigma_{el} \sim T_e^{3/2}$





When these results were reported at the 1978 IAEA FE-conference, B. Kadomtsev praised them:

"I congratulate you (R. Goldston) and the Princeton team on the very impressive achievement of reaching high ion temperatures and penetrating far into the collisionless region - a very important achievement for future reactor applications."



The anti-climax: power degradation in the L-mode

beginning of the 80ies





Rob Goldston





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The anti-climax: power degradation in the L-mode

beginning of the 80ies





In the discussion phase of the 11th IAEA conference, P-H Rebut, then director of JET, was cited talking about a "lack of significance of auxiliary heating"







The rescue (?): The H-mode of ASDEX in 1982

Historical diagramme from ASDEX start NBI



The main features of the H-mode:

a spontaneous and distinct transition during the heating phase both energy- and particle confinement time increase a power threshold P_{thr} has to be overcome new instabilities appear in the H-phase: ELMs, edge-localised modes IPP

Initial observations and relevance

(1) L- and H-modes differ in energy confinement time by about a factor of two:

energy, particle, impurity, and momentum confinement improve simultaneously; two operational branches exist; the space in between in not accessible.

(2) The H-mode transition has a power threshold P_{thr}:

Obviously, a critical condition has to be met.

(3) There is a dwell time after the heating power has been increased from the ohmic level, before the plasma transits into the H-phase:

A formation process has been initiated by stepping up the heating power with a time scale depending on external settings.

(4) When the heating power has been switched off, the plasma remains in the H-phase again for a dwell time:

Also the back transition is not gradual but occurs in a distinct step – the gap between H- and L-mode branches. lbb

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 $H = \tau_{c}^{H}/\tau_{c}^{L}$

Initial observations and relevance

(1) L- and H-modes differ in energy confinement time by about a factor of two:

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Also the back transition is not gradual but occurs in a distinct step – the gap between H- and L-mode branches.

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Why was the H-mode discovered in ASDEX?

1. Divertor plasma with magnetic separatrix



2. the heating powerwas increased from1.8 to 3 MW

3. Operation has been restricted to upper single-null operation

A **toroidal limiter** was installed

Only upper single-null (SN) operation was possible.

Fortuitously, the $Bx\nabla B$ ion drift was to the active X-point.

Thus, the power threshold was reduced



The spreading of the H-mode

Shortly after ASDEX:

PDX (1984)

then DIIID (then Doublet, 1984)

Much later:

~ 6 s high-performance H-mode discharge of DIII-D



the basis for ITER

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The special story of JET





" illegal" development by late Arturo Tanga



a limiter device with marginal X-point (1985) Ip = 3.1MA Pulse No. 26148 B_T=2.8T X-point CFC **Targets** Ion VB drift Beryllium Separatrix Targets

" illegal" development by late Arturo Tanga





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The H-mode in stellarators: 1992



all main features reproduced

 \rightarrow universality of the H-mode



The physics of the H-mode

First ideas:

OH-confinement restored, but:

K. H. Burrell wrote in his 89 paper:

"At plasma currents between 2.0 and 2.5 MA, we have found that energy confinement time in H-mode can exceed the saturated Ohmic confinement time by more than a factor of two..."

K. H. Burrell et al., Plasma Phys. Control. Fusion 31 (1989) 1649.

C.M. Bishop analysed 1986 the role of the magnetic shear at the X-point on the stability of ballooning modes.

But JFT-2M produced a year later the H-mode in limiter configuration.

Many good ideas resting on "conventional" views on confinement and turbulence are summarized in the review



1. step in the understanding: edge transport barrier



Modulation of SX radiation at edge and in SOL via sawteeth



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H-mode feature: edge pedestals



H-mode feature: edge pedestals



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When you google "edge pedestal and H-mode"





2nd step: E_r enters magnetic confinement

μh

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 E_r is an implicit parameter in tokamak transport: $\Gamma_e = \Gamma_i$ at continuous symmetry

First mention of E_r at the IAEA FE conference in 1984. R. J. Taylor asks M. Keilhacker after his JET-talk: *"Now, if it is a radial barrier, is it related to the radial electric field?"*

Stellarators are not continuously symmetric: An ambi-polar electric field enforces $\Gamma_e = \Gamma_i E_r$ plays an **explicit** role; development of roots (electron-, ion-root)

E_r was introduced into H-mode theory by "stellarator people " S.I. Itoh, K. Itoh and K.C. Shaing in 1989.







2nd step: E_r enters magnetic confinement

ЧЧ 30

 E_r not an explicit parameter in tokamak transport: $\Gamma_e = \Gamma_i$ at continuous symmetry

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K.C. Shaing J. W. Connor and H. R. Wilson wrote in their 2000 NF paper: **A review of theories of the L-H transition**

"Remarkably, changes in E_r at the transition were predicted theoretically (...) before they were observed experimentally; the observation of these has led to their inclusion in many later theories."

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3. Step: The pioneering achievements of DIII-D





First documentation of strong E_r change at the plasma edge

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The strong effect of the H-transition on E_r(edge)



Volume 64, Number 25

PHYSICAL REVIEW LETTERS

18 JUNE 1990

Role of Edge Electric Field and Poloidal Rotation in the L-H Transition

R. J. Groebner, K. H. Burrell, and R. P. Seraydarian General Atomics, San Diego, California 92138 (Received 17 November 1989)



Nowadays with improved diagnostics

Quiescent nature of the edge transport barrier

reduction of the edge transport measured with Langmuir probes

DIII-D 1995



reduction of turbulence within transport barrier





Within the edge barrier:

fluctuations and particle flux are strongly reduced

whereas the gradients steepen

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4. Step - The BDT criterion, 1989

Influence of sheared poloidal rotation on edge turbulence

H. Biglari and P. H. Diamond Department of Physics, University of California at San Diego, La Jolla, California 92093 and General Atomics, San Diego, California 92138

P. W. Terry Department of Physics, University of Wisconsin, Madison, Wisconsin 53706

(Received 5 June 1989; accepted 20 October 1989)



Basic idea:

In a magnetised plasma E_r and ExB flow are equivalent The perpendicular flow is inhomogeneous, it has shear The following process happens:



Turbulent eddies are tilted, stretched and strained out.

The turbulence structures are decorrelated

leading to a lower turbulence level and reduced transport

4. Step - The BDT criterion, 1989

Influence of sheared poloidal rotation on edge turbulence

H. Biglari and P. H. Diamond Department of Physics, University of California at San Diego, La Jolla, California 92093 and General Atomics, San Diego, California 92138

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Quantitatively:

The shearing rate > turbulence growth rate:

 $\omega_{\mathsf{ExB}} > \gamma_{\mathsf{lin}}^{\mathsf{max}}$

 $\omega_{\rm E\times B} \approx \frac{1}{\rm B_{tor}} \frac{\partial \rm E_{\rm r}}{\partial \rm r}$

$$\gamma_{lin}^{max} \sim \frac{v_T}{L_T}$$
 $v_T = \sqrt{\frac{2T}{m}}$ $L_T = \frac{T}{\nabla T}$

4. Step - The BDT criterion, 1989

Influence of sheared poloidal rotation on edge turbulence

H. Biglari and P. H. Diamond Department of Physics, University of California at San Diego, La Jolla, California 92093 and General Atomics, San Diego, California 92138

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(Received 5 June 1989; accepted 20 October 1989)



Historical reminiscence, 1966:

THE PHYSICS OF FLUIDS

VOLUME 9, NUMBER 7

JULY 1966

Short-Circuit of Flute Disturbances at a Plasma Boundary

B. LEHNERT Royal Institute of Technology, Stockholm, Sweden (Received 28 January 1966)

"Thus, a non-uniform velocity should have a stabilizing tendency by "smearing out" the flute disturbance."

Bo Lehnert





5. Step: Confirmation of the BDT criterion by DIII-D



30

20

10

-10

-20 -30

20

10

-10

-20

-30

800-

0

800

400-

Normalized Poloidal Flux

Er (kV/m)

E_r (kV/m)

Rate (10³/s) 400-

Rate (103/s)





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BUT: What is the origin of E_r ?



Poloidal force balance: $0 = j_r B/n - m_i \mu_{\theta} v_{\theta} + m_i \vartheta / \vartheta r (\langle \tilde{v}_r \tilde{v}_{\theta} \rangle)$

ion-losses momentum losses	neo-classical damping	turbulent Reynolds stress
into SOL polarisation	specific for stellarators	2D: spectral transport from small to large scales → zonal flows

Evidence for the vxB-term: 1994

Beyond paradigm: Turbulence, transport, and the origin of the radial electric field in low to high confinement mode transitions in the DIII-D tokamak*

R. A. Moyer,[†] K. H. Burrell,^{a)} T. N. Carlstrom,^{a)} S. Coda,^{b)} R. W. Conn, E. J. Doyle,^{c)} P. Gohil,^{a)} R. J. Groebner,^{a)} J. Kim,^{a)} R. Lehmer, W. A. Peebles,^{c)} M. Porkolab,^{b)} C. L. Rettig,^{c)} T. L. Rhodes,^{c)} R. P. Seraydarian,^{a)} R. Stockdale,^{a)} D. M. Thomas,^{a)} G. R. Tynan,^{c)} and J. G. Watkins^{c)} *Fusion Energy Research Program, University of California, San Diego, La Jolia, California 92093-0417* (Received 14 November 1994; accepted 6 March 1995)

 E_r changes faster than ∇p_i at the edge



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Evidence for Reynolds stress



Status now: turbulence causes Reynolds stress causing ExB flow in the form of zonal flows which acts back on and regulates the level of turbulence

Induced flows in two forms: ZFs and GAMs



Radial structure of a zonal flow, CHS 2004





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Induced flows in two forms: ZFs and GAMs



Radial structure of a zonal flow, CHS 2004



The beauty of this physics Planet Jupiter



Visualisation of spectral transport in 2D turbulence

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2D model system using an electrolyte and jxB forces to drive eddies



A. Shats, ANU, Canberra

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Ibb

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General concept: The L-H transition as a bifurcation



L. Schmitz,¹ L. Zeng,¹ T. L. Rhodes,¹ J. C. Hillesheim,¹ E. J. Doyle,¹ R. J. Groebner,² W. A. Peebles,¹ K. H. Burrell,² and G. Wang¹

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The causality chain: induced H-mode of TEXTOR





2.0 Time (s)

3.0

4.0

1.0

0.0

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The causality chain: induced H-mode of TEXTOR







voltage is sinussoidally modulated E_r-field gradient change leads density gradient follows after ~ 5 ms

R. Weynants, NF, 32,837,1992

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Possible course of action from L- to H-mode

Increase of heating power toward power threshold

Edge gradients and fluctuation level increases in the L-phase

Asymmetry in poloidal fluctuation pattern allows the turbulent Reynolds stress to develop

e.g. via separatrix X-point, magnetic shear

The neo-classical mean-flow E_r -field is supported by the $\frac{9}{9}r(\langle v_r v_{\theta} \rangle)$ -term

In the marginal state, the egde jumps between L- and H-mode (LCO)

The E_r field reduces the fluctuation level and the Reynolds term disappears again

The neoclassical E_r-field is large enough to stabilize the H-mode

Question: is a short-cut possible, without Reynolds Stress as mediator? Obviously in case of ASDEX-Upgrade (M. Cavedon *et al* 2017 *Nucl. Fusion* 57 014002)

General observations:

The response of a fusion plasma as a thermodynamically open system to increased power input can be a reduced level of turbulence.

Anomalous transport is a highly non-linear problem with self-regulating mechanisms, which can lead to the lowest dissipation state – collisional transport

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Improving the H-mode

Several strategies:

improved edge stability (increase edge pedestal) avoiding, supressing ELMs via RMP (resonant magnetic perturbations) selected operational windows: ELMs are replaced by quasi-coherent edge instabilities expanding edge pedestal internal transport barriers (ITBs)





From Saibene et al., 2003

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Improving the H-mode

Expanding the barrier

VH-mode of DIII-D, 1991

 VOLUME 67, NUMBER 22
 PHYSICAL
 REVIEW
 LETTERS
 25 NOVEMBER 1991

 Regime of Very High Confinement in the Boronized DIII-D Tokamak

G. L. Jackson, J. Winter, ^(a) T. S. Taylor, K. H. Burrell, J. C. DeBoo, C. M. Greenfield, R. J. Groebner, T. Hodapp, K. Holtrop, E. A. Lazarus, ^(b) L. L. Lao, S. I. Lippmann, T. H. Osborne, T. W. Petrie, J. Phillips, R. James, ^(c) D. P. Schissel, E. J. Strait, A. D. Turnbull, W. P. West, and DIII-D Team *General Atomics, San Diego, California 92128* (Received 23 August 1991)

high density (n_e(0) ~10²⁰ m⁻³) high T_i (13.6 keV)

H-factor: 3.5 !

ELMs replaced by quasicoherent edge instability

EDA-mode of Alcator C-mod with QC-edge mode 2000

QH-mode of DIII-D with EHO at the edge 2002

I-mode of Alcator C-mod with WCM at the edge 2010

Improving the H-mode

Stationary I-mode of A-UP

HDH regime of W7-AS, 2002



T. Happel ASDEX Upgrade Letter | 3, 2018

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Improving the H-mode

Internal transport barriers

of JT-60 U, 1994

VOLUME 72, NUMBER 23	PHYSICAL REVIEW LETTERS	6 JUNE 1994		
Internal Transport Barrier on $q = 3$ Surface and Poloidal Plasma Spin Up in JT-60U High- β_p Discharges				
Y. Koide, M. Kikuchi, M. Mori, S. Tsuji, S. Ishida, N. Asakura, Y. Kamada, T. Nishitani, Y. Kawano, T. Hatae, T. Fujita, T. Fukuda, A. Sakasai, T. Kondoh, R. Yoshino, and Y. Neyatani Japan Atomic Energy Research Institute, Naka Fusion Research Establishment, Naka-machi, Naka-gun, Ibaraki-ken 311-01, Japan (Received 27 May 1993)				

Tayloring of core-q-profile necessary by flattening it or even reverse it

lowish I_p , high β_{pol} off-axis heating high bootstrap current fraction

Achievement in JT-60 U:

highest Q_{DD}



T. Fujita, PRL 78, 2377, 1997

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Challenges

predict τ_{E} of ITER good edge confinement without ELMs....

What I am interested in - 10 years after retirement:

Modelling of an H-transition without outside trigger

Understanding of the isotope effect

effect is know since 1973 (TFR) first scaling: J. Hugill and J. Sheffield 1978 Nucl. Fusion 18 15

(devices for scaling studies: T3, T4, ST, Cleo, Ormak, Pulsator, TFR,

Alcator, Tosca, PLT, T10)

is there an isotopic effect in stellarators?

Replacement of gas fuelling by pellets (or at least supersonic injection)

Physics of the current scaling.

tokamak scaling:

 $τ_{\rm E}$ (L-mode, ITER 89) ~ $I_{\rm p}^{0.85}$ B^{0.2} R^{1.2} a^{0.3} κ^{0.5} A^{0.5} n_e^{0.1} P^{0.5} $τ_{\rm E}$ (H-mode, ITER 98 γ2) ~ $I_{\rm p}^{0.9}$ B^{0.2} R^{1.4} a^{0.6} κ^{0.8} A^{0.2} n_e^{0.4} P^{-0.7} stellarator scaling: $τ_{\rm E}$ ~ $ι^{0.4}$ B^{0.8} R^{0.7} a² n_e^{0.5} P^{0.6} with ι ~ $I_{\rm p}$ R/a²B → $I_{\rm p}^{0.4}$ B^{0.4} R^{1.3} a^{1.2}



Thank you very much

Thanks to those who organised the connection